



Houston Forensic Science Center Financial Conflict of Interest Disclosure Statement

This Houston Forensic Science Center ("HFSC") Financial Conflict of Interest Disclosure Statement is to be completed annually by all persons with decision-making authority regarding any HFSC contract or transaction. Completed forms should be submitted to the secretary of the Board of Directors by June 1 of each year.

1. I, Robert McPherson, have received a copy of and have read and understand the HFSC Financial Conflict of Interest Policy (the "Policy"). I agree to comply with the Policy.
2. If I have an actual or perceived conflict of interest (as defined in the Policy), I will promptly disclose it as required by the Policy.
3. I do not have, nor am I negotiating, a contract or transaction (as defined in the Policy) with HFSC for goods or services (other than any employment contract that may exist between HFSC and me), and this statement is true with regard to any family member of mine (as *family member* is defined in the Policy).
4. I do not have (nor does a family member have) a financial interest in a contract or transaction that exists or is being negotiated between HFSC and any entity in which (a) I am (or a family member is) a director, officer, agent, partner, associate, employee, trustee, or legal representative or (b) I am (or a family member is) engaged in some other capacity.
5. I am not (nor is a family member) engaged in any capacity with a business or enterprise that competes with HFSC nor do I or a family member have a financial interest in a business or enterprise that competes with HFSC.
6. I do not and will not engage in any business or financial activity that adversely affects or is detrimental to the best interests of HFSC.
7. I will not accept gifts, gratuities, entertainment, or other favors from individuals or entities when the party offering the gift, gratuity, entertainment or favor does so under circumstances that might create the perception that such action was intended to influence me in the performance of my HFSC duties.

Check one of the following:

☒ I agree with all of the statements in this Financial Conflict of Interest Disclosure Statement. My statements concerning my family members are made to the best of my knowledge.

☐ I cannot agree with a certain statement (or statements). Below I identify the statement(s) by number and disclose the following circumstances:

Robert McPherson Robert McPherson 9/14/15
Signature Name Printed Date



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1. I, TOM P. ALLEN, have received a copy of and have read and understand the HFSC Financial Conflict of Interest Policy (the "Policy"). I agree to comply with the Policy.
2. If I have an actual or perceived conflict of interest (as defined in the Policy), I will promptly disclose it as required by the Policy.
3. I do not have, nor am I negotiating, a contract or transaction (as defined in the Policy) with HFSC for goods or services (other than any employment contract that may exist between HFSC and me), and this statement is true with regard to any family member of mine (as *family member* is defined in the Policy).
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☒ I cannot agree with a certain statement (or statements). Below I identify the statement(s) by number and disclose the following circumstances:

3 & 4: I also represent the City of Houston, which may result in a conflict of interest. Any such conflict will be governed by the attached letter agreement.

TOM P. ALLEN
Signature

Name Printed

TOM P. ALLEN

9-16-15



CITY OF HOUSTON
Legal Department

Annise D. Parker
Mayor

P.O. Box 1562
Houston, Texas 77251-1562

Telephone -- Dial 311
www.houston.tx.gov

June 11, 2012

David M. Feldman, City Attorney
City of Houston, Texas (the "City")

Hon. Scott Hochberg, Chairman
Board of Directors
Houston Forensic Science LGC, Inc. (the "Corporation")

Gentlemen:

Since June 2007, I have served the City as a First Assistant City Attorney, a position I hope and expect to maintain for several more years. Earlier today, Mayor Annise Parker, as authorized by Article VI(1) of the Corporation's Certificate of Formation, designated "the City Attorney, or his or her designee, as an ex-officio, non-voting member of the Corporation's Board of Directors." As you know, Mr. Feldman in turn has designated me to serve the Corporation in the said capacity. The purpose of this letter is to address issues that may arise as a result of my concurrent service to the City and to the Corporation, both of whom will be my clients.

Rule 1.06(b) of the Texas Disciplinary Rules of Professional Conduct provides in part that, except to the extent permitted by paragraph (c) of the same Rule,¹ a lawyer shall not represent a person if the representation

- (1) involves a substantially related matter in which that person's interests are materially and directly adverse to the interests of another client of the lawyer or the lawyer's firm; or
- (2) reasonably appears to be or become adversely limited by the lawyer's or law firm's responsibilities to another client

¹ Rule 1.06(c) states that "[a] lawyer may represent a client in the circumstances described in (b)" as long as

- (1) the lawyer reasonably believes the representation of each client will not be materially affected; *and*
- (2) each affected or potentially affected client consents to such representation after full disclosure of the existence, nature, implications, and possible adverse consequences of the common representation and the advantages involved, if any.

David M. Feldman
Hon. Scott Hochberg
June 11, 2012
Page 2

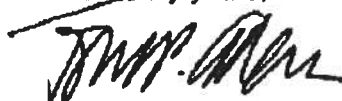
The extent to which Rule 1.06 may apply to an attorney representing both a local government corporation ("LGC") and the LGC's sponsoring local government is unclear, in part because LGCs are relatively new legal entities. It is reasonable to assume, however, that from time to time I will be expected to handle legal matters for the Corporation that are "substantially related" to matters in which I have represented or will represent the City. For example, the City and the Corporation may require agreements addressing funding, facilities, equipment, services, and similar topics. In these circumstances I will represent the Corporation's interests, not the City's, and it is foreseeable that such agreements will be "substantially related" to past matters I have handled for the City.

It appears unlikely, however, that my representation of the City will be "materially and directly adverse" to my representation of the Corporation, and equally unlikely that my representation of the Corporation will be "adversely limited" by my responsibilities (or by the Legal Department's responsibilities) to the City. Accordingly, at this juncture my providing legal services to both the City and the Corporation does not appear to be inconsistent with Rule 1.06(b). **I ask that you notify me as soon as possible if at any time the City or the Corporation disagrees with this conclusion, especially with regard to the negotiation and preparation of agreements between the two entities.**

Also, while providing legal services to the City and the Corporation I am likely to acquire "confidential information" (as defined by Rule 1.05(a) of the Texas Disciplinary Rules of Professional Conduct) from both entities. In keeping with Rule 1.05(c)(1), by this letter I request the City's express authorization to reveal the City's confidential information to the Corporation, but only if and to the extent necessary for my proper representation of the Corporation. I further request the Corporation's express authorization to reveal the Corporation's confidential information to the City, but only if and to the extent necessary for my proper representation of the City. **Please confirm the said authorizations by countersigning this letter where indicated below and returning the letter to me.**

I look forward to providing legal services to both the City and to the Corporation, both to the best of my ability. Please let me know if you have any questions whatsoever.

Very truly yours,



Tom P. Allen

David M. Feldman
Hon. Scott Hochberg
June 11, 2012
Page 3

AGREED:

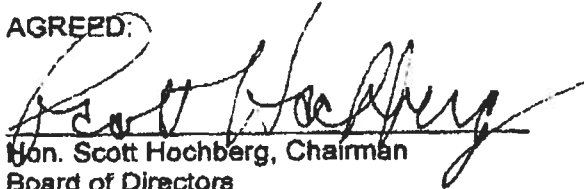


David M. Feldman, City Attorney
City of Houston, Texas

DATE SIGNED:

6-11-12

AGREED:



Hon. Scott Hochberg, Chairman
Board of Directors
Houston Forensic Science LGC, Inc.

DATE SIGNED:

6/15/12



Houston Forensic Science Center Financial Conflict of Interest Disclosure Statement

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2. If I have an actual or perceived conflict of interest (as defined in the Policy), I will promptly disclose it as required by the Policy.
3. I do not have, nor am I negotiating, a contract or transaction (as defined in the Policy) with HFSC for goods or services (other than any employment contract that may exist between HFSC and me), and this statement is true with regard to any family member of mine (as *family member* is defined in the Policy).
4. I do not have (nor does a family member have) a financial interest in a contract or transaction that exists or is being negotiated between HFSC and any entity in which (a) I am (or a family member is) a director, officer, agent, partner, associate, employee, trustee, or legal representative or (b) I am (or a family member is) engaged in some other capacity.
5. I am not (nor is a family member) engaged in any capacity with a business or enterprise that competes with HFSC nor do I or a family member have a financial interest in a business or enterprise that competes with HFSC.
6. I do not and will not engage in any business or financial activity that adversely affects or is detrimental to the best interests of HFSC.
7. I will not accept gifts, gratuities, entertainment, or other favors from individuals or entities when the party offering the gift, gratuity, entertainment or favor does so under circumstances that might create the perception that such action was intended to influence me in the performance of my HFSC duties.

Check one of the following:

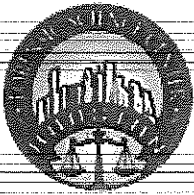
☒ I agree with all of the statements in this Financial Conflict of Interest Disclosure Statement. My statements concerning my family members are made to the best of my knowledge.

☐ I cannot agree with a certain statement (or statements). Below I identify the statement(s) by number and disclose the following circumstances:


Signature

SANDRA G. THOMPSON
Name Printed

9-28-15
Date



Houston Forensic Science Center Financial Conflict of Interest Disclosure Statement

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1. I, JANET LATIEMA BLANCETT, have received a copy of and have read and understand the HFSC Financial Conflict of Interest Policy (the "Policy"). I agree to comply with the Policy.
2. If I have an actual or perceived conflict of interest (as defined in the Policy), I will promptly disclose it as required by the Policy.
3. I do not have, nor am I negotiating, a contract or transaction (as defined in the Policy) with HFSC for goods or services (other than any employment contract that may exist between HFSC and me), and this statement is true with regard to any family member of mine (as *family member* is defined in the Policy).
4. I do not have (nor does a family member have) a financial interest in a contract or transaction that exists or is being negotiated between HFSC and any entity in which (a) I am (or a family member is) a director, officer, agent, partner, associate, employee, trustee, or legal representative or (b) I am (or a family member is) engaged in some other capacity.
5. I am not (nor is a family member) engaged in any capacity with a business or enterprise that competes with HFSC nor do I or a family member have a financial interest in a business or enterprise that competes with HFSC.
6. I do not and will not engage in any business or financial activity that adversely affects or is detrimental to the best interests of HFSC.
7. I will not accept gifts, gratuities, entertainment, or other favors from individuals or entities when the party offering the gift, gratuity, entertainment or favor does so under circumstances that might create the perception that such action was intended to influence me in the performance of my HFSC duties.

Check one of the following:

☒ I agree with all of the statements in this Financial Conflict of Interest Disclosure Statement. My statements concerning my family members are made to the best of my knowledge.

☐ I cannot agree with a certain statement (or statements). Below I identify the statement(s) by number and disclose the following circumstances:

Janet Latinya Blancett
Signature

JANET L. LATIEMA BLANCETT
Name Printed

9/25/2015
Date



Houston Forensic Science Center Financial Conflict of Interest Disclosure Statement

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1. I, NICOLE CASAREZ, have received a copy of and have read and understand the HFSC Financial Conflict of Interest Policy (the "Policy"). I agree to comply with the Policy.
2. If I have an actual or perceived conflict of interest (as defined in the Policy), I will promptly disclose it as required by the Policy.
3. I do not have, nor am I negotiating, a contract or transaction (as defined in the Policy) with HFSC for goods or services (other than any employment contract that may exist between HFSC and me), and this statement is true with regard to any family member of mine (as *family member* is defined in the Policy).
4. I do not have (nor does a family member have) a financial interest in a contract or transaction that exists or is being negotiated between HFSC and any entity in which (a) I am (or a family member is) a director, officer, agent, partner, associate, employee, trustee, or legal representative or (b) I am (or a family member is) engaged in some other capacity.
5. I am not (nor is a family member) engaged in any capacity with a business or enterprise that competes with HFSC nor do I or a family member have a financial interest in a business or enterprise that competes with HFSC.
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Check one of the following:

☒ I agree with all of the statements in this Financial Conflict of Interest Disclosure Statement. My statements concerning my family members are made to the best of my knowledge.

☐ I cannot agree with a certain statement (or statements). Below I identify the statement(s) by number and disclose the following circumstances:

Nicole Blasquez
Signature

NICOLE CASAREZ
Name Printed

11/10/2015
Date



Houston Forensic Science Center Financial Conflict of Interest Disclosure Statement

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1. I, DAVID M. FELDMAN, have received a copy of and have read and understand the HFSC Financial Conflict of Interest Policy (the "Policy"). I agree to comply with the Policy.
2. If I have an actual or perceived conflict of interest (as defined in the Policy), I will promptly disclose it as required by the Policy.
3. I do not have, nor am I negotiating, a contract or transaction (as defined in the Policy) with HFSC for goods or services (other than any employment contract that may exist between HFSC and me), and this statement is true with regard to any family member of mine (as *family member* is defined in the Policy).
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Check one of the following:

☒ I agree with all of the statements in this Financial Conflict of Interest Disclosure Statement. My statements concerning my family members are made to the best of my knowledge.

☐ I cannot agree with a certain statement (or statements). Below I identify the statement(s) by number and disclose the following circumstances:

David M. Feldman
Signature

David M. Feldman
Name Printed

1/13/16
Date



Houston Forensic Science Center Financial Conflict of Interest Disclosure Statement

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1. I, Anthony Graves, have received a copy of and have read and understand the HFSC Financial Conflict of Interest Policy (the "Policy"). I agree to comply with the Policy.
2. If I have an actual or perceived conflict of interest (as defined in the Policy), I will promptly disclose it as required by the Policy.
3. I do not have, nor am I negotiating, a contract or transaction (as defined in the Policy) with HFSC for goods or services (other than any employment contract that may exist between HFSC and me), and this statement is true with regard to any family member of mine (as *family member* is defined in the Policy).
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Check one of the following:

☒ I agree with all of the statements in this Financial Conflict of Interest Disclosure Statement. My statements concerning my family members are made to the best of my knowledge.

☐ I cannot agree with a certain statement (or statements). Below I identify the statement(s) by number and disclose the following circumstances:

Anthony Graves
Signature

Anthony Graves
Name Printed

6-2-2016
Date



Houston Forensic Science Center Financial Conflict of Interest Disclosure Statement

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1. I, Stacy Mitchell, have received a copy of and have read and understand the HFSC Financial Conflict of Interest Policy (the "Policy"). I agree to comply with the Policy.
2. If I have an actual or perceived conflict of interest (as defined in the Policy), I will promptly disclose it as required by the Policy.
3. I do not have, nor am I negotiating, a contract or transaction (as defined in the Policy) with HFSC for goods or services (other than any employment contract that may exist between HFSC and me), and this statement is true with regard to any family member of mine (as *family member* is defined in the Policy).
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Stacy Mitchell Stacy Mitchell 12/7/15
Signature Name Printed Date